

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 99,226)

*3*

In re Application of: )  
 )  
Borella et al. ) Group Art Unit: 2787  
 )  
Serial No.: 09/384,158 ) Examiner: Not Assigned  
 )  
Filed: August 27, 1999 )  
 )  
For: Method and System for Controlling Attacks )  
On Distributed Network Address Translation)  
Enabled Networks )

**RESPONSE TO THE NOTICE TO  
FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patent  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed September 15, 1999, enclosed please find an executed Declaration and Power of Attorney. Also, enclosed please find a check in the amount of \$130.00 for the Surcharge.

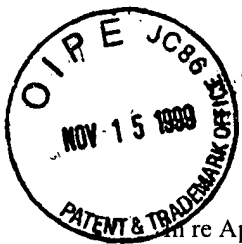
Respectfully submitted.

McDONNELL BOEHNEN HULBERT &  
BERGHOFF

Sean M. Sullivan  
Reg. No. 40,191

Dated: November 12, 1999

McDonnell Boehnen  
Hulbert & Berghoff  
300 S. Wacker Drive  
Suite 3200  
Chicago, Illinois 60606  
(312) 913-0001



SECTOR  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
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re Application of:

Borella et al.	)	Group Art Unit: 2787
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Enabled Networks	)	

TRANSMITTAL LETTER

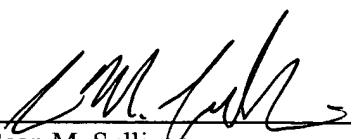
BOX Missing Parts  
Asst. Commissioner for Patents  
Washington, D.C. 20231

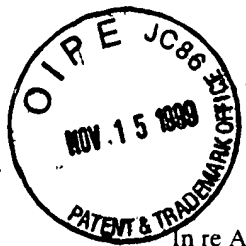
Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
  - a. Response to Notice to File Missing Parts;
  - b. Copy of Notice to File Missing Parts;
  - c. Executed Declaration and Power of Attorney; and
  - d. Postcard.
2. With respect to additional fees:
  - a. X Attached is a check in the amount of \$130.00.
  - b.        Charge the total additional fee to our Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on this 12<sup>th</sup> day of November, 1999.

By

  
Sean M. Sullivan  
Reg. No. 40,191



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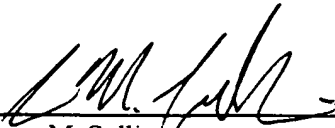
BOX Missing Parts  
Asst. Commissioner for Patents  
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By

  
Sean M. Sullivan  
Reg. No. 40,191



## UNITED STATES DEPARTMENT OF COMMERCE

## Patent and Trademark Office

Address: COMM. JNER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/384,158

08/27/99

BORELLA

M

99-226

0232/0915

SEAN M SULLIVAN

NOT ASSIGNED

MCDONNELL BOEHNEN HULBERT &amp; BERGHÖFF

300 SOUTH WACKER DRIVE

CHICAGO IL 60606

2787

DATE MAILED:

09/15/99

## NOTICE TO FILE MISSING PARTS OF APPLICATION

## Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

SERIAL NUMBER 09/384,158	FILING DATE 08/27/99	CLASS 713	GROUP ART UNIT <del>2787</del> 2132	ATTORNEY DOCKET NO. 99.226
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APPLICANT  
MICHAEL S. BORELLA, NAPERVILLE, IL; GARY JASZEWSKI, LOS GATOS, CA;  
DANNY M. NESSETT, FREMONT, CA.

RECEIVED  
JAN 31 2000  
TECH CENTER 700

\*\*CONTINUING DOMESTIC DATA\*\*\*\*\*  
 VERIFIED THIS APPLN IS A CIP OF 09/035,600 03/05/98 Now Pat. No. 6,353,614 B1  
 AND A CIP OF 09/270,967 03/17/99  
 \_\_\_\_\_ a.n.

\*\*371 (NAT'L STAGE) DATA\*\*\*\*\*  
 VERIFIED  
 \_\_\_\_\_ a.n.

\*\*FOREIGN APPLICATIONS\*\*\*\*\*  
 VERIFIED  
 \_\_\_\_\_ a.n.

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 09/14/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input checked="" type="checkbox"/> yes <input type="checkbox"/> no	<input type="checkbox"/> Met after Allowance	STATE OR COUNTRY IL	SHEETS DRAWING 27	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 3
Verified and Acknowledged	_____ a.n. _____ Examiner's Initials	04/27/99 Initials				

ADDRESS  
SEAN M SULLIVAN  
MCDONNELL BOEHNNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
CHICAGO IL 60606

TITLE  
METHOD AND SYSTEM FOR CONTROLLING ATTACKS ON DISTRIBUTED NETWORK  
ADDRESS TRANSLATION ENABLED NETWORKS

FILING FEE RECEIVED \$890	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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